



Finance & Mediation S.A.

Training, Consulting, Project Leadership, Coaching

Are you ready? – New EU-Regulation Whistleblowing

Implement and run a whistleblowing procedure

Smart sourcing for all entities falling under EU-Regulation 2019/1937 with regard to Luxemburgish Law via the external platform www.whistleblowing.lu

With coming into force of EU-Regulation 2019/1937 and the recent transition into Luxemburgish Law all companies / entities with more than 50 members of staff respective obligatory with more than 249 members of staff are obliged to implement and run a whistleblowing procedure and system to report conspicuous issues or breaches of rules among this law by their members of staff. This system has to be specific, independent and autonomous and as well accessible for your service providers.

We deliver our digital whistleblowing platform whistleblowing.lu since 2016 to the financial sector of Luxemburg and offer this as your external service partner.

FINANCE ET MEDIATION S.A. is established since more than 20 years and acts as intermediary mainly in the financial sector of Luxemburg with it's network about compliance, training and consulting.

Our clients are banks, management companies of the investment fund industry, insurance companies and fiduciaries as well as companies of the non-financial sector.

We are experienced in compliance for decades. Topics like anti money laundering / terrorism financing, data protection (appointed by CNPD, consulting, mandates as external data protection officer) as well to consult on top management level to that the compliance of the organisation and procedures are up to date, these are our core competencies.

Your advantages

- Smart sourcing to fulfil a compliance relevant requirement
Implementation module: standard process documentation to be integrated into your compliance policy framework and presentation to instruct your staff and sourcing partner
- Trustworthy, anonymous and independent channel to handle your incidents by an external whistle blowing agent
- Confidential routing to the pre-defined contacts of your compliance-organisation
- Can be used as well among your value-chain of your outsourcing partners
- You use our experience of decades as your senior partner in all compliance issues

.../ 2

Our service offer

Module 1 – Implementation

- Providing the process documentation „Whistle blowing“ to be integrated into your organisation manual / compliance policy (by integrating your individual contacts identified, e.g. your compliance officer or your senior management responsible for compliance).
- Providing the presentation „Whistle blowing“ to instruct your staff members or to be integrated into your compliance trainings – of course specific trainings can be held by us upon separate request.

Module 2 – Operating

- Providing the anonymous external whistle blowing function
 - Identification of your company by your ID assigned
 - Communication channels:
mail, phone 24/7, www.whistleblowing.lu
 - Annual report: Overview about whistle blowing – incidents (specified reporting)
- Minimum contract duration: 3 years, prolongation afterwards automatically by 1 year

Are you interested ? Let's discuss.

FINANCE ET MEDIATION S.A. - 2, rue Gabriel Lippmann - L-5365 Munsbach

Fon +352 26443233

Mail contact@finance-et-mediation.lu

Web www.data-protection.lu

Fax +352 26443234

Web www.finance-et-mediation.lu

Web www.whistleblowing.lu



Helmut Haag

Managing Partner

haag@finance-et-mediation.lu



Malte Bull

Managing Partner

bull@finance-et-mediation.lu